

Comprehensive Audit Tool Scoring Sheet

Facility:
Date:

COMPLIANCE SUMMARY

<i>Domains</i>	<i>%</i>	<i>Items Reviewed</i>	<i>Full Compliance</i>	<i>Partial Compliance</i>	<i>Non-Compliance</i>
Administration					
Identification					
Treatment					
Overall					

Scoring Formula: (FCX2) + (PCX1) ÷ (Scorable Items) X (2) = Compliance Score

Compliance Scores

I. Administration

Staffing Patterns Page 1 – 2					
<i>Domains</i>	<i>%</i>	<i>Items Reviewed</i>	<i>Full Compliance</i>	<i>Partial Compliance</i>	<i>Non-Compliance</i>
Credentialing Files					
Privileging Files					
Subtotal					

Training Programs Page 3-4					
<i>Domains</i>	<i>%</i>	<i>Items Reviewed</i>	<i>Full Compliance</i>	<i>Partial Compliance</i>	<i>Non-Compliance</i>
Clinical Consultation/ Supervision					
Group Case Conference					
In-Service Training					
Subtotal					

Record Maintenance Page 5-6					
<i>Domains</i>	<i>%</i>	<i>Items Reviewed</i>	<i>Full Compliance</i>	<i>Partial Compliance</i>	<i>Non-Compliance</i>
MH/MR Clinical/Medical Files					
Duty Officer Logbook					
Subtotal					

Oversight Procedures Page 7 – 10					
<i>Domains</i>	<i>%</i>	<i>Items Reviewed</i>	<i>Full Compliance</i>	<i>Partial Compliance</i>	<i>Non-Compliance</i>
Scribe Reports					
Referral Log					
Record Request Log					
Parole Log					
Isolation/ Segregation Log					
Sexual Allegation Log					
Involuntary Medication Hearing Log					
Discharge Log					
Crisis Stabilization Log					
Acute Care Unit Log					
Diagnostic Referral Log					
Observation Cell Log					
Isolation/Segregation (Tier) Log					
Suicide Precautions Log (SP)					
Activity Therapy Group Log					
Subtotal					

II. Identification

Identifying SMI - Evaluations Page 11 – 16					
<i>Domains</i>	<i>%</i>	<i>Items Reviewed</i>	<i>Full Compliance</i>	<i>Partial Compliance</i>	<i>Non-Compliance</i>
Diagnostics					
Initial Psychiatric/ Psychological*					
Sexual Allegations					
Isolation/Segregation					
Disciplinary Evaluations					
Parole					
Subtotal					

*Initial Psychiatric/Psychological

Psychiatric					
Psychological					
Subtotal					

Identifying SMI - Rounds Page 17					
<i>Domains</i>	<i>%</i>	<i>Items Reviewed</i>	<i>Full Compliance</i>	<i>Partial Compliance</i>	<i>Non-Compliance</i>
Isolation/ Segregation					
Subtotal					

Identifying SMI - Referrals Page 18-19					
<i>Domains</i>	<i>%</i>	<i>Items Reviewed</i>	<i>Full Compliance</i>	<i>Partial Compliance</i>	<i>Non-Compliance</i>
Routine/Self Referral					
Emergency Referrals					
Subtotal					

Classifying SMI Page 20					
<i>Domains</i>	<i>%</i>	<i>Items Reviewed</i>	<i>Full Compliance</i>	<i>Partial Compliance</i>	<i>Non-Compliance</i>
Appropriately Changing Levels of Care/Discontinuing Services*					
Subtotal					

***Classifying SMI**

Changing Levels of Care					
Discontinuing Services					
Subtotal					

III. Treatment

Direction of Treatment Page 21					
<i>Domains</i>	<i>%</i>	<i>Items Reviewed</i>	<i>Full Compliance</i>	<i>Partial Compliance</i>	<i>Non-Compliance</i>
Comprehensive Treatment/Habilitation Plans					
Subtotal					

Type of Treatment – Non-Pharmacological Interventions Page 22 – 26					
<i>Domains</i>	<i>%</i>	<i>Items Reviewed</i>	<i>Full Compliance</i>	<i>Partial Compliance</i>	<i>Non-Compliance</i>
Groups					
Activity Therapy Groups					
Counseling					
Lockdown Services					
Subtotal					

Type of Treatment – Pharmacological Interventions Page 27 – 35					
<i>Domains</i>	<i>%</i>	<i>Items Reviewed</i>	<i>Full Compliance</i>	<i>Partial Compliance</i>	<i>Non-Compliance</i>
Med. Non-Adherence *					
Quantitative Issues					
Qualitative Issues					
Lab. Follow-Up*					
Medication Administration					
Heat Education					
Heat Monitoring					
Subtotal					

***Medication Non-Adherence**

Statistics					
Documentation					
Subtotal					

***Laboratory Follow-Up**

Antipsychotic Medications					
Tegretol					
Lithium					
Valproic Acid					
Benzodiazepines					
Subtotal					

Type of Treatment – Supportive Living Unit Services Page 36					
<i>Domains</i>	<i>%</i>	<i>Items Reviewed</i>	<i>Full Compliance</i>	<i>Partial Compliance</i>	<i>Non-Compliance</i>
SLU Programming					
Community Meetings					
Subtotal					

Type of Treatment – Stabilization Services Page 37- 43					
<i>Domains</i>	<i>%</i>	<i>Items Reviewed</i>	<i>Full Compliance</i>	<i>Partial Compliance</i>	<i>Non-Compliance</i>
ACU					
CSU					
Suicide Precautions *					
Restraints					
Involuntary Medication					
Observation Cell					
Subtotal					

***Suicide Precautions**

SP1					
SP2					
Subtotal					

Compliance

I. Administration

Domains	%	Items Reviewed	Full Compliance	Partial Compliance	Non-Compliance
Staffing Patterns					
Training Programs					
Record Maintenance					
Oversight Procedures					
Subtotal					

II. Identification

Domains	%	Items Reviewed	Full Compliance	Partial Compliance	Non-Compliance
Evaluations					
Rounds					
Referrals					
Classifying SMI					
Subtotal					

III. Treatment

Domains	%	Items Reviewed	Full Compliance	Partial Compliance	Non-Compliance
Treatment Plans					
Non-Pharmacological Interventions					
Pharmacological Interventions					
Supportive Living Unit Services					
Stabilization Services					
Subtotal					