



Tennessee Department of Children's Services Survey of Alleged PREA Incidents

INSTRUCTIONS: This form will be completed by the agency within forty-eight (48) hours of alleged incident. After completion, submit the form to the staff member designated to enter the information into Survey Monkey. The completed form will be kept in a confidential file, WILL NOT be available for review by other staff members or filed in the client file.

1. On what date did the alleged incident occur?
(If more than one date, report most recent-format: 00/00/0000.)

Month	Day	Year

2. In what facility did the alleged incident occur?
Name: _____
City/State/Place: _____

3. Where did the alleged incident occur? (Mark all that apply.)

01 In the victim's cell/room (if the victim and perpetrator share a cell/room, count as the victim's cell)

02 In the perpetrator's cell/room

03 In a dormitory or other multiple housing unit

04 In a common area within a cell block (shower, dayroom)

05 In a temporary holding cell within the facility

06 In a program service area (commissary, kitchen, storage, laundry, cafeteria, workshop, or hallway)

07 Outside the facility

08 While in transit

09 Other- Specify below: _____

4. What time did the alleged incident occur? (Mark all that apply.)

01 Morning (6 a.m. to noon)

02 Afternoon (noon to 6 p.m.)

03 Evening (6 p.m. to midnight)

04 Overnight (midnight to 6 a.m.)

5. How many victims were involved in the alleged incident?
Number of victims: _____

➡ If no victims (only perpetrators) were involved, report "0" above, and go to item 15.

➡ If more than two victims were involved, report these characteristics in Notes.

6. Victim # 1: What is the TFACTS Person ID associated with that victim? _____

7. Victim #1: What was the victim's gender?
01 Male 02 Female

8. Victim #1: What was the victim's age at the time of the alleged incident?

01 Under the age of 13 05 20-24

02 13-15 06 25 or older

03 16-17

04 18-19

9. Victim #1: What was the victim's race/ethnic origin? (Mark all that apply.)

01 White (not of Hispanic origin)

02 Black (not of Hispanic origin)

03 Hispanic or Latino

04 American Indian/Alaska Native (not of Hispanic origin)

05 Asian (not of Hispanic origin)

06 Native Hawaiian or Other Pacific Islander (not of Hispanic origin)

07 Other racial category in your information system- Specify below: _____

10. Victim # 2: What is the TFACTS Person ID associated with that victim? _____

11. Victim #2: What was the victim's gender?
01 Male 02 Female

12. Victim #2: What was the victim's age at the time of the alleged incident?

01 Under the age of 13 05 20-24

02 13-15 06 25 or older

03 16-17

04 18-19

13. Victim #2: What was the victim's race/ethnic origin? (Mark all that apply.)

01 White (not of Hispanic origin)

02 Black (not of Hispanic origin)

03 Hispanic or Latino

04 American Indian/Alaska Native (not of Hispanic origin)

05 Asian (not of Hispanic origin)

06 Native Hawaiian or Other Pacific Islander (not of Hispanic origin)

07 Other racial category in your information system- Specify below: _____

14. Did victim(s) sustain any physical injury during the alleged incident?

01 Yes ➡ a. What injuries occurred (Mark all that applies for all victims.)

01 Knife or stab wounds

02 Broken bones

03 Anal or vaginal tearing

04 Chipped or knocked out teeth

05 Internal injuries

06 Knocked unconscious

07 Bruises, black eye, sprains, cuts, scratches, swelling, welts

08 Other-Specify below

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Distribution: Original: PREA Program Manager

RDASW05

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Original 10/2013

b. Did the victim(s) receive medical treatment for these injuries?

- 01 Yes
02 No
02 No No injury sustained)

15. Who reported the alleged incident? (Mark all that apply.)

- 01 Victim
02 Another youth (non-victim)
03 Family of victim
04 Correctional officer/front line staff
05 Administrative staff
06 Medical/healthcare staff
07 Instructor/teacher
08 Counselor
09 Chaplain or other religious official
10 Other- *Specify below*

16. After the alleged incident was reported, was the victim(s) - (Mark all that apply for all victims.)

- 01 Given a medical examination
02 Administered a rape kit
03 Tested for HIV/AIDS
04 Tested for other sexually transmitted diseases
05 Provided with counseling or mental health treatment
06 None of the above
07 No victim (voluntary sexual contact)

17. After the alleged incident was reported, was the victim(s) - (Mark all that apply for all victims.)

- 01 Placed in administrative segregation/ protection custody
02 Placed in a medical unit, ward, or hospital
03 Confined to own cell/room
04 Given a higher custody level within the facility
05 Transferred to another facility
06 Other – *Specify below*
07 None of the above
08 No victim (voluntary sexual contact)

18. What type of sexual violence was involved in the alleged incident? (See definitions in next column)

- 01 Youth-on-youth nonconsensual sexual act
 ➡ *Complete Section A*
02 Youth-on-youth abusive sexual contact
 ➡ *Complete Section A*
05 Voluntary sexual contact between youth
 ➡ *Complete Section A*
03 Staff sexual misconduct ➡ *Complete Section B*
04 Staff sexual harassment ➡ *Complete Section B*

Definitions of Sexual Violence

Nonconsensual Sexual Acts: Contact of any person without his or her consent, or a person who is unable to consent or refuse;

AND

Contact between the penis and the vagina or the penis and the anus including penetration, however slight; OR Contact between the mouth and the penis, vagina, or anus;

OR

Penetration of the anal or genital opening of another person by the hand, finger, or other object.

Abusive Sexual Contact: (less severe) Contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh or buttocks of any person.

EXCLUDES

Incidents in which the intent of the sexual contact is to harm or debilitate rather than to sexually exploit.

Staff Sexual Misconduct: Any behavior or act of a sexual nature directed toward a juvenile or youthful offender by an employee, volunteer, contractor, official visitor, or other agency representative (exclude youth family, friends, or other visitors).

Sexual relationships of a romantic nature between staff and youth are included in this definition. Consensual or nonconsensual sexual acts including: Intentional touching of the genitalia, anus, groin, breast, inner thigh, or buttocks with the intent to abuse, arouse, or gratify sexual desire;

OR

Completed, attempted, threatened, or requested sexual acts;

OR

Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for sexual gratification.

Staff Sexual Harassment: Repeated verbal statements or comments of a sexual nature to a juvenile or youthful offender by an employee, volunteer, contractor, official visitor, or other agency representative (exclude youth family, friends, or other visitors). Demeaning references to gender or derogatory comments about body or clothing;

OR

Repeated profane or obscene language or gestures.

SECTION A – YOUTH-ON-YOUTH SEXUAL VIOLENCE

19. How many perpetrators were involved in the alleged incident?

Number of perpetrators: _____

If more than two perpetrators were involved, report these characteristics in Notes on the last page of this form.

20. Perpetrator #1: What is the TFACTS Person ID associated with the perpetrator? _____

21. Perpetrator #1: What was the perpetrator's gender?

01 Male 02 Female

22. Perpetrator #1: What was the perpetrator's age at the time of the alleged incident?

- 01 Under the age of 13 05 20-24
02 13-15 06 25 or older
03 16-17
04 18-19

23. Perpetrator #1: What was the perpetrator's race/ethnic origin? (Mark all that apply)

- 01 White (not of Hispanic origin)
02 Black (not of Hispanic origin)
03 Hispanic or Latino
04 American Indian/Alaska Native (not of Hispanic origin)
05 Asian (not of Hispanic origin)
06 Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 Other racial category in your information system- Specify below:
-

24. What is the TFACTS Person ID associated with the perpetrator?

25. Perpetrator #2: What was the perpetrator's gender?

- 01 Male 02 Female

26. Perpetrator #2: What was the perpetrator's age at the time of the alleged incident?

- 01 Under the age of 13 05 20-24
02 13-15 06 25 or older
03 16-17
04 18-19

27. Perpetrator #2: What was the perpetrator's race/ethnic origin? (Mark all that apply)

- 01 White (not of Hispanic origin)
02 Black (not of Hispanic origin)
03 Hispanic or Latino
04 American Indian/Alaska Native (not of Hispanic origin)
05 Asian (not of Hispanic origin)
06 Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 Other racial category in your information system- Specify below:
-

28. What was the nature of the alleged incident? (Mark all that apply)

- 01 Voluntary sexual contact between youths
02 Unwanted touching for sexual gratification
03 Pressure/coercion (without force) resulting in a nonconsensual sexual act
04 Physical force (or the threat of force) resulting in a nonconsensual sexual act
05 Other – Specify below:

29. What type of pressure or physical force was used by the perpetrator on the victim? (Mark all that apply for all perpetrators)

- 01 Persuasion or talked into sexual activity
02 Bribery or blackmail
03 Gave victim drugs or alcohol
04 Offered protection from other youth
05 Threatened with physical harm
06 Physically held victim down or restrained in some way
07 Physically harmed or injured
08 Threatened with a weapon
09 Other – Specify below:
-

30. What sanction was imposed on the perpetrator(s)? (Mark all that apply for all perpetrators)

- 01 Placed in solitary confinement or disciplinary segregation
02 Confined to own cell/room
03 Placed in higher custody level within same facility
04 Transferred to another facility
05 Loss of "good/gain" time or increase in "bad" time
06 Given extra work
07 Loss of privileges
08 Arrested
09 Referred for prosecution
10 Given a new sentence
11 Other – Specify below:
-

SECTION B – STAFF SEXUAL MISCONDUCT AND HARASSMENT

31. What was the nature of the alleged incident? (Mark all that)

- 01 Physical force resulting in a nonconsensual sexual act
02 Pressure or abuse of power resulting in a nonconsensual sexual act
03 Indecent exposure, invasion of privacy, or voyeurism for sexual gratification
04 Unwanted touching for sexual gratification
05 Sexual harassment or repeated verbal statements of a sexual nature by staff
06 Sexual relationship between youth and staff that appeared to be willing
07 Other - Specify below:

- 08 Level of coercion unknown

32. How many staff were involved in the alleged incident?

Number of staff: _____

If more than two staff were involved, report these characteristics in Notes.

33. Staff #1: What is the staff's name? _____

34. What is the TFACTS Person ID associated with the staff? _____

35. Staff #1: What is the gender of the staff?

- 01 Male 02 Female

36. Staff #1: What was the age of the staff at the time of the alleged incident?

- | | | | | | |
|----|--------------------------|---------------|----|--------------------------|-------------|
| 01 | <input type="checkbox"/> | 24 or younger | 05 | <input type="checkbox"/> | 40 - 44 |
| 02 | <input type="checkbox"/> | 25 - 29 | 06 | <input type="checkbox"/> | 45 - 54 |
| 03 | <input type="checkbox"/> | 30 - 34 | 07 | <input type="checkbox"/> | 55 or older |
| 04 | <input type="checkbox"/> | 35 - 39 | | | |

37. Staff #1: What was the race/ethnic origin of the staff involved in the alleged incident? (Mark all that apply)

- 01 White (not of Hispanic origin)
02 Black (not of Hispanic origin)
03 Hispanic or Latino
04 American Indian/Alaska Native (not of Hispanic origin)
05 Asian (not of Hispanic origin)
06 Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 Other racial category in your information system-
Specify below:

38. Was the staff involved in the alleged incident an employee of the facility, a contractor, or a volunteer? (Mark all that apply for all staff involved.)

- 01 Full or part-time paid employee
02 Contract employee or vendor
03 Volunteer or intern
04 Other – Specify below:

39. What was the primary position description of the staff involved in the alleged incident? (Mark all that apply for all staff involved.)

- 01 Administrator, including wardens, superintendents, assistants and others in administrative positions
02 Correctional officer/supervision staff
03 Clerical including secretaries, clerks, receptionists, and other administrative support
04 Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
05 Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
06 Education staff, including instructors, teachers, librarians, and education assistants
07 Other program staff
08 Other staff – Specify below:

40. Staff #2: What is the staff's name? _____

41. What is the TFACTS Person ID associated with the staff? _____

42. Staff #2: What is the gender of the staff?

- 01 Male 02 Female

43. Staff #2: What was the age of the staff at the time of the alleged incident?

- | | | | | | |
|----|--------------------------|---------------|----|--------------------------|-------------|
| 01 | <input type="checkbox"/> | 24 or younger | 05 | <input type="checkbox"/> | 40 - 44 |
| 02 | <input type="checkbox"/> | 25 - 29 | 06 | <input type="checkbox"/> | 45 - 54 |
| 03 | <input type="checkbox"/> | 30 - 34 | 07 | <input type="checkbox"/> | 55 or older |
| 04 | <input type="checkbox"/> | 35 - 39 | | | |

44. Staff #2: What was the race/ethnic origin of the staff involved in the alleged incident? (Mark all that apply)

- 01 White (not of Hispanic origin)
02 Black (not of Hispanic origin)
03 Hispanic or Latino
04 American Indian/Alaska Native (not of Hispanic origin)
05 Asian (not of Hispanic origin)
06 Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 Other racial category in your information system-
Specify below:

45. Was the staff involved in the alleged incident an employee of the facility, a contractor, or a volunteer? (Mark all that apply for all staff involved.)

- 01 Full or part-time paid employee
02 Contract employee or vendor
03 Volunteer or intern
04 Other – Specify below:

46. What was the primary position description of the staff involved in the alleged incident? (Mark all that apply for all staff involved.)

- 01 Administrator, including wardens, superintendents, assistants and others in administrative positions
02 Correctional officer/supervision staff
03 Clerical including secretaries, clerks, receptionists, and other administrative support
04 Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
05 Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
06 Education staff, including instructors, teachers, librarians, and education assistants
07 Other program staff
08 Other staff – Specify below:

47. What sanction was imposed on the staff? (Mark all that apply for all staff involved.)

- 01 Reprimanded or disciplined
02 Demoted or diminished responsibilities
03 Transferred to another facility
04 Arrested
05 Referred for prosecution
06 Discharged
07 Staff resigned (prior to completion of investigation)
08 Staff resigned (after investigation was completed)
09 Other - Specify below:

Notes:

Was CPS Notified? **Yes** **No**

If so, date:

Incident Report Submitted? **Yes** **No**