



I. 7.10.5 Research Security Program

Chapter 7 - Research and Sponsored Programs	Original Effective Date: March 2024
Section: 7.10 Research Administration	Date Last Reviewed:
Responsible Entity: Vice President for Research	Date Last Revised:

II. Purpose

The purpose of this policy is to define the scope, function, and composition of the Research Security Program ("Program") to safeguard UT Health San Antonio's research portfolio and intellectual property against internal and external threats and to ensure compliance with U.S., State of Texas, and UT System requirements. The Program has three primary objectives:

- A. Establish shared governance that focuses on promoting research integrity and safeguarding intellectual property by utilizing a risk-based approach when assessing international engagement and collaborative endeavors;
- B. Ensure institutional compliance with U.S., state, and System ethical, legal, regulatory, contractual, and standards for research security, including, but not limited to, Education Code Section 51.956 and National Security Presidential Memorandum 33; and
- C. Promote a culture of compliance by implementing strategic education and outreach.

III. Scope

- A. The Program addresses risk related to UT Health San Antonio's research portfolio, including, but not limited to research data security, foreign travel, foreign collaborations, and management of foreign visitors and scholars.
- B. The Program will provide governance for the following regulatory and policy subjects:
 - 1. Critical and emerging technology research safeguards
 - 2. Technology and data security for foreign travel
 - 3. Foreign funding and reporting
 - 4. Relationship with U.S. sanctioned persons and organizations
 - 5. Research data classification

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6. Research data information security planning
7. Research risk assessment and management
8. Research visitor access and management
9. Information security training and awareness programs associated with research data use and access

IV. Policy

A. Governance

UT Health San Antonio's President shall designate a Research Security Center of Excellence to provide diverse stakeholder engagement in the development, implementation, and sustainment of the Program, advise the President and institutional leadership on research security concerns, and ensure the Program is consistent with the institution's research missions.

B. Responsibilities

The Research Security Center of Excellence shall:

1. Support compliance with legal, regulatory, contractual, and standards for research security, including, but not limited to, Education Code Section 51.956 and National Security Presidential Memorandum 33.
2. Lead the development of necessary policies, standards, and procedures to meet compliance with U.S., state, and System research security requirements.
3. Provide training and educational opportunities, including regulatory and policy guidance, risk reduction practices, and cybersecurity awareness.
4. Develop risk assessment and management tools.
5. Coordinate internal monitoring, noncompliance detection, and incident reporting.
6. Other guidance and assistance needed by research administration and support offices.

C. Membership

UT Health San Antonio's President shall designate a Research Security Officer who will be responsible for ensuring the research security program continues to meet all required federal, state, and System standards and is implemented effectively.

The Research Security Center of Excellence shall include representatives from:

1. Information Security
2. Office of Sponsored Programs
3. Research Compliance Office
4. Institutional Compliance & Privacy Office

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- 5. Research Protections Programs
- 6. Faculty
- 7. Office of Technology & Commercialization
- 8. Legal Affairs

V. Definitions

There are no defined terms used in this Policy.

VI. Related References

Texas Education Code Section 51.956

National Security Presidential Memorandum 33

VII. Review and Approval History

The approving authority of this policy is the University Executive Committee.

Effective Date	Action Taken	Approved By	Date Approved
03/2024	Policy Origination	Executive Committee	03/25/2024