

American Correctional Association

Transitioning to the Hybrid ACI 5th / 4th Edition Manual in PowerDMS

The American Correctional Association (ACA) has authorized a hybrid *Standards for Adult Correctional Institutions (ACI)* accreditation manual for PowerDMS that will minimize questions for agencies who use PowerDMS to build their audit files. This guide provides instructions on how to use this hybrid manual to complete an ACI assessment in PowerDMS regardless of whether your agency is seeking accreditation under the ACI 4th edition standards, a combination of the ACI 4th and ACI 5th edition standards, or ACI 5th edition only.

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<u>Alert</u>

Effective January 18, 2021, the following standards have been deleted from the 5th Ed / 4th Ed: March 2020 (Upd Jan 2021) version of the Adult Correctional Institutions (ACI) standards manual. These standards will not be audited in any audit effective January 18, 2021:

5-ACI-3A-36

5-ACI-3A-37

5-ACI-3A-38

5-ACI-3A-39

5-ACI-3A-40

5-ACI-3A-41

WARNING

Read these instructions **before** you upgrade your assessment to the new version of the manual!



It is extremely important that you **DO NOT** navigate to your open ACI 4th edition assessment and click the *Upgrade Assessment* button. While this action will be performed in the steps outlined in this guide, other protocols must be followed <u>prior</u> to this action. Failure to ensure you follow the steps applicable to your agency's audit cycle and ACA guidelines for transitioning to the ACI 5th edition performance-based standards (as outlined in the following pages) may result in losing the work you have completed towards proving compliance within your current assessment.

Definitions

- 1. <u>Sole ACI 4th Edition Manual</u>: For the purpose of this transitional guide, the sole ACI 4th edition manual refers to the ACI manual in PowerDMS that contains the 4th edition standards only.
- 2. <u>Sole ACI 5th Edition Manual</u>: For the purpose of this transitional guide, the sole ACI 5th edition manual refers to the ACI manual in PowerDMS that contains the 5th edition standards only.
- 3. <u>Hybrid ACI 5th / 4th Edition Manual</u>: This manual combines the ACI 4th edition standards, numbering, and naming conventions with the ACI 5th edition performance-based standards, numbering, and naming conventions.

Applicability

This transitional guide applies to agencies that are seeking accreditation using:

- The ACI 4th edition standards manual only
- A combination of the ACI 4th edition and ACI 5th edition standards manuals
- The ACI 5th edition standards manual only

Instructions on how and when to open or transition assessments using the hybrid manual are provided for each of the applications listed above. Please reach out to the PowerDMS support team (<u>support@powerdms.com</u> or 888-9595158) if you need assistance with the instructions listed below.

- If your audit cycle ends prior to October 1, 2020, you will NOT update your ACI 4th edition assessment to a Hybrid 5th / 4th edition assessment. You will complete your assessment without making any changes to your process.
- If your audit cycle ends after October 1, 2020, you will need to follow the steps outlined in this guide under *Transitioning a Current Assessment*.
- If you are building an assessment using ACI 5th edition standards ONLY, you will still need to open your assessment using the Hybrid manual. See the section on *Opening a New Assessment* below.

Overview of the Hybrid ACI 5th / 4th Edition Manual

The Hybrid ACI 5th / 4th edition manual includes **both** ACI 5th edition performance-based standards, numbering, and naming conventions **as well as** ACI 4th edition standards, numbering, and naming conventions. The hybrid manual not only allows you to maintain archived records of your ACI 4th edition assessment but also provides for a comparison of the changes between the ACI 4th and ACI 5th edition manuals.

Understanding and Navigating the Hybrid Manual

As you may have noticed, the sole ACI 5th edition has significant changes from the sole ACI 4th edition. The ACI 5th edition added new standards and deleted many 4th edition standards. Two of the most profound changes are the reordering and renaming of some ACI 4th edition standards. These changes posed a significant challenge for PowerDMS when combining these manuals. This section is intended to clarify how to understand and navigate the Hybrid ACI 5th / 4th edition manual.

If your agency is subscribed to the ACI 4th edition manual, the Hybrid 5th / 4th edition manual will automatically appear in your blue menu under the **Standards Manuals** root.



Standards for Adult Correctional Institutions (ACI) Fifth Edition / Fourth Edition

If you have an open assessment using the ACI 4th edition manual, and you open the Hybrid 5th / 4th edition manual from the Standards Manual root, the manual will revert to the ACI 4th edition manual. If this happens, <u>don't panic!</u> Use the triple dots to the right of the manual and click *Revisions*.



Then, select the $5^{th} / 4^{th}$ edition. This will restore the Hybrid $5^{th} / 4^{th}$ edition manual under the Standards Manuals root.



If the ACI 4th edition manual is not downloaded to your PowerDMS site, you will need to subscribe to the Hybrid Manual in the PowerDMS Standards Manual Community. Steps for subscribing to the manual are found in the article, <u>Subscribing to a Standards Manual</u>. The Hybrid manual appears in the community as the ACA – ACI – 5th Edition / 4th Edition.

Naming Conventions in the Hybrid Manual

You may have noticed changes in how chapters, subchapters, and standards are named in the ACI 5th edition manual. The Hybrid manual uses the language of the ACI 5th edition *while maintaining* the language of the ACI 4th edition following a specific pattern. This pattern places the 5th edition language to the left and/or on top of the 4th edition language.

Chapter and subchapter names will show the ACI 5th edition language followed by a forward slash ("/") followed by the ACI 4th edition language.

5th ed lan	guage /	4th ed. language		
1: Administration and Manag	jement / P	Part One: Administra	ation and Management	Print

And the ACI 5th edition performance-based standard will appear on top of the ACI 4th edition principle.



This same pattern is consistent within the standards themselves.

Numbering Conventions in the Hybrid Manual

Like the naming convention detailed above, the Hybrid manual has ordered the standards based on the ACI 5th edition numbering while maintaining the standard numbers of the ACI 4th edition, meaning you will find the standards based on the numbering of the 5th edition standards. This allows for the logical inclusion of the new standards added to the 5th edition.



It also provides you with a comparison of the 4th edition standards that have been rearranged, or moved, in the 5th edition.



As much as possible, deleted standards are found numerically according to the 4th edition numbering. However, the easiest method to find deleted standards is using the PowerDMS assessment search feature. The deleted standards from the 4th edition can be found by searching for either the 4th edition *standard number* or the word *deleted*.

To access the search feature within the assessment:

- 1. Locate the four vertical dots along the left side of the assessment window. These dots are centered vertically along the left margin of your assessment.
- 2. Click the dots to undock the manual's table of contents
- 3. Click the magnifying glass along the left side of the search bar.





An explanation is provided when a 4^{th} edition standard is relocated within the 5^{th} edition.



This means you still have access to all 4th edition standards that may need to be documented during your audit cycle.

Like the naming convention, the 5th edition number is listed first, followed by a forward slash ("/"), followed by the 4th edition number.



PowerDMS added a link to ACA's *Conversion Chart from Fourth Edition to Fifth Edition* in the Hybrid manual for your reference.



Opening a New Assessment

All new assessments for agencies seeking accreditation for ACI, regardless if the facility is documenting compliance to the 4th and 5th edition standards, or just the sole ACI 5th edition standards, will be opened using the new Hybrid ACI 5th / 4th Edition Manual. The sole 5th edition manual is no longer available in the PowerDMS Standards Manual Community for download. If you have already downloaded the sole ACI 5th edition manual, you will need to archive the manual to avoid confusion moving forward. Refer to the section <u>Archiving the Pure ACI 5th Edition Manual</u> below. To open a new assessment, follow the instructions in the article, <u>Creating Assessments</u>.

Transitioning a Current Assessment

If you already have an open assessment under the ACI 4th edition manual, follow these steps to copy your current assessment to the Hybrid manual.

STEP 1: Archive your current assessment

That's right! Archive your open assessment. This does two things. First, it preserves the work you have done on your current assessment. Second, it frees up your license so you can create a "copy" assessment for upgrading to the Hybrid manual without losing your previous work.

To archive your current assessment

- a. Navigate to your assessment
- b. Select the Manage Manual button in the upper right-hand corner of your assessment.
- c. On the **General** tab, click the *Archive* button

General	Security	
	Assessment Name:	
	Start Date:	
	Due Date:	
	Standards Manual: S	Standards for Adult Correctional Institutions (ACI) Fourth Edition (Standard Cor
	т	The revision for this assessment does not expire. O New version available
		Archive 🛖

d. Click Archive to confirm

No inbox items will appear for users with assignments for an archived assessment.

An archived assessment in PowerDMS is still accessible to you at any time. However, it does not show up in your active assessment list.

STEP 2: Create a NEW assessment using the ACI 4th Edition Standards Manual.

This may get a little tricky. *If, at any time, you are concerned about moving forward, stop and contact PowerDMS support to help you through* (<u>support@powerdms.com</u> or 888-959-5158).

a. Select the Hybrid manual from the blue menu under the Standards Manuals root.

Standards for Adu	ult Correctional Institutions (ACI) Fifth Edition / Fourth Edition
b. Click Create Assessme	ent in the upper right-hand corner of the page.
	🜲 Welcome, Admin 🗝



c. Complete the fields for the new assessment with the information from the archived assessment to include the same start date and end date. Use the drop-down menu to select the old version of the manual. NOTE: The most recent version of the cole ACI 4th edition is entitled *Standard Correction and Updated Forms*.



- d. Then select your role. It is *extremely* important that you have the **SAME** role in the new assessment as the archived assessment.
- e. Click Save.

STEP 3: Access the Archived Assessment

a. Locate the archived assessment. To do this, click the white triangle in the Find Anything search bar and select Assessments from the drop-down menu. Then click Advanced Search.



b. From the Advanced Search page, ensure the **Search Type** shows *Assessments*, and select *Archived* from the **Include** filter.

Advanced Sea	rch	
Search Phrase:		Search Type: Assessments
Include:	Archived All Archived Dublished	

- c. Click Search
- d. Locate the assessment you just archived
- e. Click its title to view it.

STEP 4: Copy Tasks and Attachments to the New Assessment from the Archived Assessment

PowerDMS gives you the ability to copy tasks and attachments quickly and easily from an archived assessment to a new assessment *using the same standards manual*. If you update your assessment to the Hybrid manual before following these steps or open a new assessment from the Hybrid manual, you will NOT be able to copy your attachments and tasks from the archived assessment. This results in the need to re-document your standards or recreate your tasks in the new assessment.

To copy attachments and tasks in bulk from the archived assessment, follow these steps:

a. In the Archived assessment, navigate to the root of the manual.

- b. Click the Attachments tab
- c. Use the filters to locate the attachments you want to copy. In the example below, I am copying all attachments labeled as protocols ("PRO"). To do this, I will use the **NOTES** field and type my keyword "PRO", then click search.

Summary Assig	gnment	History	Tasks	Standard	Attachments	
2 Notes:	PRO		A	dded after date:		Ē
Attachment Type:	Any	•	Add	led before date:		:
Added by Role:		•		Status:		•
3 Filter Reset						

- d. Select the master checkbox in the shaded area of the table. These will check all the boxes in the table automatically.
- e. Select the Select Action button.

4	S	elect Ac	tion		
	H	1	F		Pag
5			Standard	Notes	
		View	4-4001	PRO: Policy	
		View	4-4002	PRO: Y1-Y3 Policy and Procedure	
		View	4-4048	PRO: Y1 - Y3 Hostage Policy	
		View	4-4048	PRO: Y1 - Y3 (2017 - 2020) Personnel Policy Manual	/

f. Select Copy Selected Attachments from the drop-down menu.

1



- g. Select the new assessment from the Copy to Assessment drop-down menu.
- h. Select your role from the Copy to Role drop-down menu.
- i. Click the Copy button.



NOTE: These steps apply to bulk copying all attachments. You may easily copy other attachments (i.e., simple notes) to the new assessment by following the same steps above, but filtering for "Simple Note" attachment types and any keywords in the **NOTES** field.

There are a few things worth mentioning:

- 1. The attachments should map to the 4th edition version of the standard when you update to the Hybrid manual.
- 2. Attachments that were relocated from the 4th edition will automatically attach to the relocated standard in the Hybrid manual.

STEP 5 (Optional): Copy Tasks to the New Assessment

If you created tasks in your archived assessment, you may copy these tasks to the new assessment.

- a. Navigate back to the archived assessment following the process in STEP 3 above.
- b. From the root of the manual, click on the Tasks tab.
- c. From the *Currently Acting As* drop-down menu, select the **ROLE** of tasks you want to copy.
- d. From the Select Action drop-down menu, select Copy Tasks
- e. Click the master checkbox or select individual tasks separately
- f. Click Copy Selected Tasks

ersion 5.10 P	s	ummary Assig		tory Tasks	Standards Attac					
Chapter I: Mission and V E 1.1 Mission Statemer Root of the		arrently Acting As:	Januar	0						
Chapter 2: Fiscal Manage										
🛃 Budget		-								
Napter 3: Organizationa		Task Status:	Current		Task:					
3.1 Organizational Sti		Standard:			Date Range:		TE to			
3.2 Organizational CP	1	Completed by User								
Diapter 4: Communicati			1 2 V 1							
3 4.1 Emergency Only I			Search	Reset						
1 S 1 File Safety				-						0.0.0
apter 6 Training and 6	Co	oy Tasks ∽ Cop	y Selected Tasks	-						00 12 00
6.1 Administration ef	Ø	Task		Standard			Role	Date	Status	Completed By
6.2 Training Records	53	obtain signed oath	of office for	Chapter 1: Mice	on and Volume - 1 1 Micro	on Statement	Managar	10/3/2017	Current	
sapter 7: Disciplinary Pi	100	this year (3)		compres r. renas	on una vulue > 1.1 maan	ar short ment	recompet	10/3/2011	CONTRACT	
upter & Recruitment F		upload signed mis	sion statement	Chapter 1: Miss	ion and Values > 1.1 Missi	on Statement	Manager	10/3/2017	Current	
8.1 Job Postings and	M	Due today		Chapter 5: - Safe	ety > 5.1 Fire Safety		Manager	9/22/2017	Current	
hapter & Health and Sa	CI	Due today		Chanter 5: - Safe	dy > 5.1 Fire Safety		Mananer	9/22/2017	Current	
9.1 Health and wellow	3	Core (coory	20000	onaprer or our	ing a contract outledge	L Burger and M	renorminger	WEDD LOTT.	Carrent	
sapter 10: Chapter that	Ø	Need attendance a house training	sheets for in	Chapter 6: Train Records	ing and Career Developme	nt > 6.2 Training	Manager	10/3/2017	Current	
		Obtain budget repryear	orts for this	Chapter 2: Fisca > Budget	I Management and Compa	ny Owned Property	Manager	10/3/2017	Current	
	Ø	Upload fiscal repo	rts	Chapter 2: Fisca > Budget	il Management and Compa	ny Owned Property	Manager	10/3/2017	Current	
	Ø	Attach new job rec information.	ruit	Chapter 8: Recri Equal Opportuni	atment Processes > 8.1 Jo Ity Employment	b Postings and	Manager	10/3/2017	Current	
	Ø	Add new health ini 2017	tiatives for	Chapter 9: Healt	h and Safety > 9.1 Health	and wellness	Manager	10/3/2017	Current	
	12	. [1]			Rear 1 of 1 17 Rans	in a Chieve				item The Rold R

g. Select the new assessment from the drop-down list

Important: Tasks are assigned by Role. If you'd l Accreditation Team), you must be assigned to b

- h. Click Next
- i. Click Next again
- j. Click Copy Tasks

Role.

Copy to	Assessment	mr T	
Assign	Tasks to Role	mt .	
Accr	editation Manager	Ŧ	
Ne	xt Cancel		

STEP 6: Upgrade the Open ACI 4th edition Assessment to the Hybrid 5th / 4th edition manual

You are now ready to upgrade your assessment to document BOTH ACI 4th edition standards and ACI 5th edition performance-based standards.

- a. Navigate back to your open assessment
- b. Check to ensure your attachments and tasks copied from the old assessment.
- c. Click the *New Version Available* button in the upper right corner of the assessment. This begins the upgrade process. NOTE: You cannot undo this action once you begin the process.



- d. Select 5th Edition / 4th Edition from the table
- e. Click Upgrade

D	Upgrade Assessment			
No	e: Upgrading an assessment to a newer version of a standards manual cannot	be undone. Learn more about upgradi	ng your assessment.	
	Version	Publish Date	Expiration Date	Upgrade
	5th Edition / 4th Edition	4/1/2020 3:14 PM	-	OUpgrade
	Standard Correction and Updated Forms	7/19/2017 12:01 PM	· •	Current
	Updated OM and SIS	6/22/2017 8:15 AM	-	Not Available
	April 10, 2017 – 2016 Supplement Errata Update	5/11/2017 10:28 AM	-	Not Available
	November 2016 w/Revision #2	2/20/2017 12:22 PM	-	Not Available
	November 2016 w/Revision	12/22/2016 1:42 PM	-	Not Available
	November 2016	12/22/2016 1:05 PM	-	Not Available
	June 2015	7/24/2015 12:00 PM	-	Not Available

f. You will get a series of steps to review starting with a list of affected standards and the option to view a side-by-side comparison of the pure ACI 4th edition manual to the Hybrid manual. Scroll to the bottom of the page and click NEXT.

Step 1 - Standards Man	ual Change Summary
Upgrading ACI 4th Audit 11/20	17 - 11/2020 from Standard Correction and Updated Forms to 5th Edition / 4th Edition.
Automatic Linking was uncha	nged (On).
There are 1115 affected stand 57 standards were added	ards (View Side-by-Side Comparison)
 5-ACI-1A-19 	
 5-ACI-1C-25 	
 5-ACI-1C-25 5-ACI-1F-02 	

- g. The next step asks you to create review tasks or remove all proofs. You should keep these default settings and simply select *NEXT*.
- h. The last step is a review. Simply scroll to the bottom of the page and select the *Finish & Upgrade* button. The click *Upgrade Now*.

Confirm Upgrade					
Are you sure you want to upgrade? This cannot be undone.					
Upgrade Now Cancel					

Documenting standards

All attachments you copied from your archived assessment will map to the 4th edition standard in the Hybrid manual.



As you document compliance throughout your audit cycle, you will need to map your protocols and process indicators to the 5th edition version of the standard (after October 1, 2020). You do this by checking the box next to the 5th edition version of the standard when you add an attachment.

Summary Assignment History				Tasks (1)	Statuses	Attachmer
/ Expected Practices / Purpose and Mission / 5-AOI-1A-02/4-4002				Type		
	Atch H	T		PowerDMS Doo	oument	
5-ACI-1A-02 / 4-4002 Print				Standard 1 point selecte	d	
(Ref. 3-4002)				Attach a Docume	est.	
5-ACI-1A-02		-		001- Policy De	velopment	0 -
There is a written document delineating the institution's mission within the context of the total correctional system. This document is reviewed at least annually and updated as needed.				001- Policy De	evelopment	
Content The mission statement should address whatever programs and services are available in the institution. Programs include receiving and diagnostic units, prevelease units, separate units for special offenders, and so forth.		_	1			
Protocols: Whitten policy and procedure. Mission statement.						
Process Indicators: Documentation of annual review.	1. A.			Save Cano	el	
				Filters: No	ne Applied	
4-4002					Dollars and Dras	
There is a written document delineating the institution's mission within the context of the total correctional system. This document is reviewed at least annually and updated as needed.	1 4			Accreditation Manager		Roore
Comment: The mission statement should address whatever programs and services are available in the institution. Programs include receiving and diagnostic units, prevelease units, separate units for special offenders, and so furth.				 Policy Na Effective 	me Date	
				 Institution 	nal Mission	

Supplements to the Hybrid ACI 5th Edition / 4th Edition Manual

As ACA updates the performance-based standards to the ACI 5th edition performance-based standards, PowerDMS will update the hybrid manual to include these supplemental standards. All updated performance-based standards will appear above the previous version of the standard with a description of the revision date.

5-ACI-5C-14 / 4-4325 Print Edit
(Ref. 3-4306) Revised January 2020 (Effective NLT July 1, 2021)
S-ACI-SC-14 Revised January 2020 Effective NLT July 1, 2021) Revised January 2020 (Effective NLT July 1, 2021) Written policy, proc. fore, and practice provide that stored shelf goods are maintained at 45 degre to 80 degrees Fahrenhet, refigerated foods at 35 degrees to 40 degrees 7 To state health codes specify otherwise. Temperatures are incleaded and the code at 0
Comment None. Protocols: Written policy and procedure. Health codes. Process indicators: Observation. Measurement. Documentation of daily temperature Revised 5th Use has been dead
Collicon standard Collicon standard
Comment None Extension Winter policy and process
4-4225 4-4225 Written policy, fure, and reactions annotable that should able foods are maintained at 45 degrees to 80 degrees Fahrenheit, refrigerated foods at: degrees to 40 degr Comment, More, 4th Edition

A Word on Status

Because the Hybrid manual combines 4th Edition standards with 5th Edition standards, you will find that some standards will not require documentation but will require you to set a status. Assessments built using the Hybrid manual will have additional status options to address standards that do not require documentation.

The following definitions will help you determine the appropriate status for use in your assessment:

- 1. **<u>Compliant</u>**: The agency meets the requirements of the standard by presenting appropriate protocols and process indicators.
- 2. **Non-Compliant:** The agency is out of compliance with the requirements of the standard or the presented documentation does not prove the intent of the standard.
- 3. **Non-Applicable:** The standard is not relevant to the agency or program being audited. All standards with a status of Non-Applicable must have a statement attached supporting the non-applicability of the expected practice(s). Agencies may NOT elect to set a status of non-applicable because it does not agree with the requirements of the expected practice. Examples of appropriate use of the non-applicable status are:
 - a. Legislative restrictions
 - b. Out-sourced, off-site services
 - c. Gender-specific practices
- 4. **In-Progress**: Documentation has not been attached to the standard or is partially completed.
- 5. <u>Standard Deleted in 5th:</u> This NEW status is used for 4th edition standards that are not required to be documented during the audit year. This applies to assessments that document 4th AND 5th edition standards during the audit cycle as well as to assessments documenting 5th edition standards only. The 4th edition standards that this status applies to are:
 - a. 4-4094
 - b. 4-4120
 - c. 4-4426
 - d. 4-4250
 - e. 4-4366
- 6. <u>Future Compliance Required:</u> This NEW status is used for 5th edition standards that do not yet require the compliance of the agency. This applies to assessments that document 4th AND 5th edition standards during the audit cycle as well as to assessments documenting 5th edition standards only. The 5th edition standards that this status applies to are those standards where the "Effective NLT" date has not expired.

Archiving the sole ACI 5th Edition Manual

PowerDMS recommends archiving the sole ACI 5th Edition Manual to eliminate confusion when opening future assessments using the ACI manual. Archiving your manual removes the manual from the list of standards manuals in your blue menu's **Standards Manual** root.

To archive your ACI 5th Edition manual:

- 1. Navigate to the ACI 5th Edition manual in the blue menu under the Standards Manual root.
- 2. Hover your cursor over the triple dots to the right of the manual's name.
- 3. Select Manage
- 4. From the General tab, select Archive

